IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3691   Examiner: Havan, Thu Thao

In Re: Srihari Kumar et al.
Case: P3970
Serial No.:   09/854,233
Filed: May 10, 2001
Subject: Portfolio Synchronizing between Different Interfaces

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Sir:

Response A

In re:	Srihari Kumar et al.			
Case:	P3970	Application No.:	09/854,233	Filing date: 05/10/2001
Art Unit:	3691	Examiner:	Thu Thao Havan	
Subject:	Portfolio Synchronizing between Different Interfaces			

Certificate of Transmission under 37 CFR 1.8

Attention: Thu Thao Havan   , Examiner

Fax No.: (571) 273-8300

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

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Sheri Beasley
Typed or printed name of person signing Certificate

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3.   Response A - 9 sheets
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Method of Transmission: Facsimile   CASE DOCKET NO.   P3970

In reference to application of Srihari Kumar et al.

Serial No. 09/854,233

For Portfolio Synchronizing between Different Interfaces

Sir:
Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.

☑ No additional fee is required.
☑ Applicant claims Small entity status under 37 CFR 1.27.
☐ The fee has been calculated as shown below.

**** CLAIMS AS AMENDED ****

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest No. Paid For Previously	(5) Present Extra	(6) Rate Small Entity	(7) Rate Large Entity	(8) Additional Fee
Total Claims	20	Minus	** 27	0	$ 25	$ 50	$ 0.00
Indep Claims	3	Minus	*** 3	0	$ 100	$ 200	$ 0.00
☐ First presentation of a multiple dependent claim					$ 0	$ 0	$ 0.00
☐ Terminal Disclaimer Fees							$ 0.00
Extension Fee	☐ 1st Month		☐ 2nd Month		☐ 3rd Month		$ 0.00
Total additional for claims, time extensions and disclaimer fees							$ 0.00

** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.
*** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.
**** Multiple dependencies, if any, included in the above calculation.
* If the entry in column 2 is less than the entry in column 4, write "O" in column 5.

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Respectfully Submitted, /Donald R. Boys/
                Donald R. Boys
                Reg. No. 35074

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3 Hangar Way, Suite D
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Method of Transmission: Facsimile

In reference to application of Srihari Kumar et al.

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For Portfolio Synchronizing between Different Interfaces

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